

## Patient Assistance Application for HUMIRA® (adalimumab)

The AbbVie Patient Assistance Foundation provides AbbVie medicines at no cost to patients experiencing financial difficulties. Eligible patients typically have no healthcare coverage for the requested product and do not have access to alternative sources of coverage or funding. We review all applications on a case-by-case basis to support the AbbVie Patient Assistance Foundation's purpose of providing products at no cost to individuals in need.

### Checklist for submitting an application:

- ☐ Ensure all sections of the application are completed. Failure to complete required information will delay the review process.
- ☐ To complete the enrollment process, information and signatures from both the Prescriber and the Patient are required.
- ☐ IF YOU ARE A PATIENT
  - Complete the Patient Information Page
  - Provide front and back copies of all prescription insurance card(s).
  - Provide proof of income (tax return, W2, pay stub) for all in household.
    - If there is no household income (\$0) due to job loss or other circumstance, you do not need to provide income documents.
  - Sign and date the Patient Certification Page and the Patient Authorization for Disclosure Page
  - If you have Medicare Prescription Drug coverage, sign and date the *Patient Certification for Patients with a Medicare Prescription Drug Plan* section of the Patient Certification Page.
  - Please keep a copy for your records.
- ☐ IF YOU ARE A PRESCRIBER
  - Complete the Prescriber Prescription and Certification Page
  - Your signature and date are required.

### Fax or mail the completed application and documentation to:

AbbVie Patient Assistance Foundation  
 D-617927, AP5 NE  
 1 N. Waukegan Rd.  
 North Chicago, IL 60064  
 Phone: 1-800-222-6885

**Fax: 1-866-250-2803**

Upon receipt of a completed application, we will notify the prescriber and patient about eligibility. If approved, we will ship the medication to the patient's home unless otherwise indicated on the application. Prior to each subsequent 90-day shipment, the AbbVie Patient Assistance Foundation will contact the shipping location to schedule the next delivery.

Please contact us at 1-800-222-6885 Monday through Friday for additional assistance.



PATIENT ASSISTANCE FOUNDATION

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### PRESCRIBER INFORMATION

Prescriber Name:	<input type="checkbox"/> MD <input type="checkbox"/> DO <input type="checkbox"/> Other: _____	<input type="checkbox"/> Rheum <input type="checkbox"/> Derm <input type="checkbox"/> Gastro <input type="checkbox"/> Other: _____
Office Name:	Office Contact Name:	
Address:	City/State/Zip:	
NPI or SLN:	Phone:	Fax:

### PATIENT HISTORY • DIAGNOSIS • SHIPPING PREFERENCE

<b>Patient's Name:</b> _____	<b>DOB:</b> _____
<input type="checkbox"/> No known allergies <input type="checkbox"/> Allergies (Please list): : _____	
<input type="checkbox"/> Rheumatoid Arthritis	<input type="checkbox"/> Psoriatic Arthritis
<input type="checkbox"/> Ankylosing Spondylitis	<input type="checkbox"/> Polyarticular Juvenile Idiopathic Arthritis [JIA] - Patient weight : _____ kg
<input type="checkbox"/> Crohn's Disease	<input type="checkbox"/> Pediatric Crohn's Disease - Patient weight : _____ kg
<input type="checkbox"/> Hidradenitis Suppurativa	<input type="checkbox"/> Other: _____
<input type="checkbox"/> Ulcerative Colitis	<input type="checkbox"/> Other: _____
<b>Check ONLY if you prefer shipping to the Prescriber's office:</b> <input type="checkbox"/> Ship to Prescriber	

### PRESCRIBER PRESCRIPTION AND CERTIFICATION

#### STANDARD THERAPY

**Rheumatoid Arthritis • Ankylosing Spondylitis • Crohn's Disease • Ulcerative Colitis • Psoriatic Arthritis • Plaque Psoriasis  
Hidradenitis Suppurativa • Polyarticular JIA if  $\geq 30$ kg (66 lbs)**

MEDICATION (check one)	DIRECTIONS (check one)	QUANTITY (check one)	REFILLS
<input type="checkbox"/> HUMIRA Pen 40 mg/0.8mL	<input type="checkbox"/> 40 mg SC inj. every week	<input type="checkbox"/> PAP Standard - 84 days	<input type="checkbox"/> 3 Refills
<input type="checkbox"/> HUMIRA Pre-Filled Syringe 40 mg/0.8mL	<input type="checkbox"/> 40 mg SC inj. every other week		
<b>Polyarticular JIA if 15-30kg (66 lbs)</b>	<input type="checkbox"/> 20 mg SC inj. every other week	<input type="checkbox"/> Other: _____	<input type="checkbox"/> Other: _____
<input type="checkbox"/> HUMIRA Pre-Filled Syringe 20 mg/0.4mL			
<b>Pediatric Crohn's</b>			
<b>Weight: 17kg (37lbs) to &lt; 40kg (88lbs)</b>	<input type="checkbox"/> One 20 mg SC inj. Day 29 and every other week thereafter		
<input type="checkbox"/> HUMIRA Pre-Filled Syringe 20 mg/0.4mL			
<b>Weight: <math>\geq 40</math>kg (88lbs)</b>	<input type="checkbox"/> One 40 mg SC inj. Day 29 and every other week thereafter		
<input type="checkbox"/> HUMIRA Pre-Filled Syringe 40 mg/0.4mL			
<b>Other: HUMIRA:</b> _____	<input type="checkbox"/> _____		

#### STARTING THERAPY

**Crohn's Disease • Pediatric Crohn's if  $\geq 40$  kg (88lbs) • Ulcerative Colitis • Hidradenitis Suppurativa**

MEDICATION (check one)	DIRECTIONS (check one)	REFILLS
<input type="checkbox"/> Crohn's/UC/HS Starter Package (HUMIRA Pen 40 mg/0.8mL) 6 Pens	<input type="checkbox"/> Four 40 mg SC inj. Day 1, Two 40 mg SC inj. Day 15	No Refills
<input type="checkbox"/> HUMIRA Pre-Filled Syringe 40 mg/0.8mL 6 Syringes	<input type="checkbox"/> Two 40 mg SC inj. Day 1, Two 40 mg SC inj. Day 2, Two 40 mg SC inj. Day 15	
<b>Pediatric Crohn's Disease if 17kg (37lbs) to &lt; 40kg (88 lbs)</b>		
<input type="checkbox"/> HUMIRA Pre-Filled Syringe 40 mg/0.8mL 3 Syringes	Two 40 mg SC inj. Day 1, One 40 mg SC inj. Day 15	No Refills
<b>Plaque Psoriasis (check one)</b>		
<input type="checkbox"/> Psoriasis Starter Package (HUMIRA Pen 40 mg/0.8mL) 4 Pens	Two 40 mg SC inj. for first dose (Day 1), then one 40 mg SC inj. one week after first dose (Day 8), then one 40 mg SC inj. three weeks after first dose (Day 22)	No Refills
<input type="checkbox"/> HUMIRA Pre-Filled Syringe 40 mg/0.8mL 4 Syringes		

**New York Prescribers please submit prescription on an original NY State prescription blank.**

**For all other States, if not faxed, must be on State specific blank if applicable.**

### PRESCRIBER PLEASE SIGN AND DATE BELOW

<b>PRESCRIBER SIGNATURE</b> _____ (no stamped signatures) Substitution Permitted Date	<b>PRESCRIBER SIGNATURE</b> _____ (no stamped signatures) Dispense as Written Date
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**By signing this form, I represent to the AbbVie Patient Assistance Foundation (the "Foundation") that I have obtained all necessary Federal and state authorizations and consents from my patient to allow me to release health information to the Foundation and its contracted third parties.**

I verify that the information provided is current, complete and accurate to the best of my knowledge and certify that I am authorized to receive medications at the shipping location identified in this application. If this applicant is eligible for the Foundation's patient assistance program (the "PAP"), I understand that the Foundation will send the medication to the designated shipping location, which could include my office or the patient's home. The Foundation reserves the right to request additional information if needed and to change or discontinue the PAP at any time, without notice. By signing this form, I certify that I am prescribing the aforementioned medication for an individual participating in the PAP. I acknowledge that I shall not seek reimbursement for any medication dispensed hereunder from any government program or third party insurer. I also understand that the applicant's acceptance into the PAP is not made in exchange for any explicit or implicit agreement or understanding that AbbVie Product will be used, purchased, leased, ordered, prescribed, recommended, or arranged for or provided formulary or other preferential or qualifying status. By signing this form, I authorize the Foundation and its representatives to transmit this prescription form electronically, by facsimile, or by mail to a pharmacy designated by the Foundation for the dispensing of the medication called for herein. I understand that I may not delegate signature authority. I certify that treatment with this medication is medically necessary.

**Notice to Health Care Providers and Insurers:** This form of authorization may not comply with all applicable Federal and state laws governing disclosure of the patient's information to the Foundation and its contracted third parties. The Foundation urges all entities disclosing information about the patient to consult with legal counsel prior to relying on this form.



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ABBVIE PATIENT ASSISTANCE FOUNDATION • D-617927, AP5 NE • 1 N. WAUKEGAN RD • NORTH CHICAGO, IL 60064

The AbbVie Patient Assistance Foundation provides HUMIRA at no cost to individuals who meet specific program eligibility criteria

### PATIENT INFORMATION

Patient Name:	Sex: <input type="checkbox"/> M <input type="checkbox"/> F
DOB:	SSN (last four digits ONLY): ____   ____   ____   ____ If you do not have an SSN, check here: <input type="checkbox"/>
Address (No P.O. Box):	
City/State/Zip:	
Daytime Phone:	Evening Phone:
Treating Physician Name:	
Treating Physician Phone:	Treating Physician Fax:
Other Medications (Please list):	

### FINANCIAL INFORMATION (Income documentation is also required)

Current Monthly Household Income: \$ _____	Number of people in your household (including yourself): _____
	Number in household under 18 years old: _____
Source of Income: <input type="checkbox"/> Wages <input type="checkbox"/> SSDI <input type="checkbox"/> SSI <input type="checkbox"/> Unemployment <input type="checkbox"/> Pension <input type="checkbox"/> Other: _____	

**Please provide current income documentation (tax return, pay stub, etc) to avoid processing time delay.**

- If there is no household income (\$0) due to job loss or other circumstance, you do not need to provide income documents.

### INSURANCE INFORMATION

- ☐ I have no insurance coverage
- ☐ I have insurance coverage that does not adequately cover HUMIRA.  
(Please provide details below or attach a copy of the insurance card. Include detailed list of medical expenses for household, including medications, office visits, insurance premiums, medical bills, etc.)

PRIMARY INSURANCE		SECONDARY INSURANCE	
Insurance Company:		Insurance Company:	
Insurance Co. Phone:		Insurance Co. Phone:	
Policy #:	Group #:	Policy #:	Group #:
Policyholder Name:		Policyholder Name:	
Relationship to Policyholder:		Relationship to Policyholder:	
Policyholder DOB:		Policyholder DOB:	

### Medicare Questions:

- Are you eligible for Medicare? ☐ Yes ☐ No If No, anticipated date of Medicare eligibility (if within the year)? \_\_\_\_\_
- Are you enrolled into a Medicare Prescription Drug Plan? ☐ Yes ☐ No ☐ Unsure
- Are you eligible for extra help (financial assistance from Social Security) with medication costs under Medicare Part D?  
☐ Yes ☐ No ☐ Unsure
- If Medicare eligible, please provide the value of your assets: \$ \_\_\_\_\_

(Assets include checking and savings accounts, CD's, stocks and bonds, savings bonds, mutual funds, IRAs and other investments, cash at home or anywhere else, and the value of your life insurance policies if turned in for cash right now. Do not include your home, vehicles, burial plots, or personal possessions.)



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### PATIENT CERTIFICATION FOR PATIENT ASSISTANCE (Required)

I understand that any assistance in the form of product at no cost is contingent upon my ability to meet the eligibility criteria for the AbbVie Patient Assistance Program ("PAP") as determined by the AbbVie Patient Assistance Foundation, AbbVie Inc. or third parties contracted by the AbbVie Patient Assistance Foundation (collectively, the "Foundation"). I agree that the Foundation does not have any obligation to provide the PAP services to me and I waive any and all liability of the Foundation in the provision of the PAP services. I understand that by completing this form I am not guaranteed eligibility to receive medication at no cost from the PAP. In the event that I am eligible for the PAP, I acknowledge that this assistance is temporary and that I may be asked to reapply at designated intervals as determined by the Foundation. I also understand that the PAP may be changed or discontinued at any time without any notice to me and at such time the PAP services will no longer be provided. I agree that I will not seek reimbursement for any products dispensed under the PAP from any government program or third party insurer. I certify that the information I have provided in this form is accurate and complete. I agree that I will notify the PAP if my insurance or financial situation changes.

**Patient's Name:** \_\_\_\_\_ **Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

(If applicable)

**Representative Name:** \_\_\_\_\_ **Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Relationship:** \_\_\_\_\_

### PATIENT CERTIFICATION FOR PATIENTS WITH A MEDICARE PRESCRIPTION DRUG PLAN (Required only for these patients)

If I am a member of a Medicare Prescription Drug Plan that offers prescription drug coverage for the requested medication under my Medicare Prescription Drug Plan and I am eligible for assistance through the AbbVie Patient Assistance Foundation:

1. I understand that I will be eligible to obtain the requested medication through the Foundation for a calendar year term, assuming I continue to meet the Foundation's eligibility criteria.
2. I agree that I will not purchase this medication under my Medicare plan that provides prescription drug coverage while enrolled in this program and through the end of the calendar year of my Foundation enrollment.
3. I agree that I will not submit claims nor seek true out-of-pocket (TrOOP) credit for any of the requested medication provided under the Foundation while enrolled in this program and through the end of the calendar year of my Foundation enrollment.
4. I agree that I will provide written notification to my Medicare Prescription Drug Plan of my approval to receive a supply of the requested medication at no cost outside of the Medicare Part D benefit through the Foundation. The notification is to ensure that payment for the product is not made by my Medicare plan, that no part of the costs of the product is credited toward my TrOOP balance, and that my plan can undertake appropriate drug utilization review and medication therapy management program activities.
5. I will notify the Foundation immediately if my prescription drug coverage changes.

**Patient's Name:** \_\_\_\_\_ **Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

(If applicable)

**Representative Name:** \_\_\_\_\_ **Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Relationship:** \_\_\_\_\_

### PERSONAL REPRESENTATIVE REPRESENTATION (if applicable)

#### Personal Representative Representation (if applicable):

Note: A Patient's Personal Representative may sign this Form on behalf of the Patient. However, only certain individuals may qualify as the Patient's Personal Representative. A State law prescribes who can be a Personal Representative for purposes of this Authorization.

By signing below, I represent that I am an authorized Personal Representative of the Patient under applicable state law.

**Representative Name:** \_\_\_\_\_ **Relationship:** \_\_\_\_\_ **Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

### ADDITIONAL PERMISSION FOR PURPOSES OF THE PROGRAM (optional)

I permit the AbbVie Patient Assistance Foundation to speak with the following person about this application:

**Name:** \_\_\_\_\_ **Relationship:** \_\_\_\_\_ **Phone Number:** \_\_\_\_\_

**Patient Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_



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### AUTHORIZATION FOR DISCLOSURE OF INFORMATION

I understand that the purpose of this authorization ("Authorization") is to give my permission for the disclosure and use of my protected health information. I request and authorize my healthcare providers and healthcare insurers that have provided treatment, payment or services to me or for me to disclose any information regarding my health, treatment, and coverage that pertains to payment for medication to the AbbVie Patient Assistance Foundation, AbbVie Inc., its affiliates, or third parties contracted by the AbbVie Patient Assistance Foundation, (collectively, the "Foundation") for the following purposes: (i) to determine my eligibility for the Foundation's patient assistance program ("PAP"), (ii) if necessary, to account for and assist with my withdrawal from the PAP and/or transfer to a separate private or public payer program, and (iv) to administer and maintain the high quality of the PAP, including but not limited to case review, compliance checks, audit review, accounting purposes. I understand that once the Foundation receives my health information, it may communicate with my health care providers and insurers to determine my PAP eligibility. I understand that I am not required to sign this Authorization and that no health care provider or insurer will condition treatment, payment, enrollment or eligibility for benefits on whether I sign this Authorization. However, I understand that if I do not sign this Authorization, I cannot take part in the PAP (should I qualify I understand that I may cancel this authorization at any time by writing to the AbbVie Patient Assistance Foundation at D-617927, AP5 NE, 1 N. Waukegan Rd. North Chicago, IL, 60064 as well as by notifying my health care providers and insurers. If I cancel this Authorization, I can no longer participate in certain aspects of the PAP. Once the Foundation receives and processes my cancellation request, the Foundation will not use my health information going forward. I understand that cancelling my Authorization will not affect any use of my health information that occurred before my request was processed. This authorization shall be valid for 10 years from the date of the signature on this form (unless a shorter period is prescribed by state law). I understand that, unless otherwise restricted by state law, my health information released under this Authorization is subject to re-disclosure by the Foundation and will no longer be protected by HIPAA.

**Patient's Name:** \_\_\_\_\_ **Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

(If applicable)

**Representative Name :** \_\_\_\_\_ **Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Relationship:** \_\_\_\_\_